

SM 3093.6(2001-006R1)  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Gerrit Klaerner et al.

Art Unit 1645

Serial No. 10/043,394

Filed January 10, 2002

Confirmation No. 4664

For POLYMER BRUSHES FOR IMMOBILIZING MOLECULES TO A  
SURFACE OR SUBSTRATE HAVING IMPROVED STABILITY

May 2, 2002

**LETTER IN RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF APPLICATION - FILING DATE GRANTED**

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS,

SIR:

\* In response to the "Notice to File Missing Parts of Application - Filing Date  
Granted," dated March 27, 2002, enclosed is applicant's signed Declaration and Power  
of Attorney in the above-referenced application. A copy of the Notice is returned  
herewith.

\* Also enclosed is a check for \$130.00 in payment of the surcharge.

\* We have also received the filing receipt in the above-referenced U.S. patent  
application. The second recited applicant "Dider Benoit" should be "Didier Benoit." A  
marked up copy of the filing receipt is enclosed. Please send us a corrected filing  
receipt.

Respectfully submitted,

Derick E. Allen, Reg. No. 43,468  
SENNIGER, POWERS, LEAVITT & ROEDEL  
One Metropolitan Square, 16th Floor  
St. Louis, Missouri 63102  
(314) 231-5400

DEA/dep

Express Mail Label No. EL947978862US  
Box Missing Parts



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/043,394	01/10/2002	Gerrit Klaerner	SMX 3093.6 (2001-006R1)

000321

SENNIGER POWERS LEAVITT AND ROEDER  
ONE METROPOLITAN SQUARE  
16TH FLOOR  
ST LOUIS, MO 63102



CONFIRMATION NO. 4664

## FORMALITIES LETTER



\*OC000000007724830\*

Date Mailed: 03/27/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/06/2002 SDENB081 00000096 10043394

01 FC:105

130.00 DP

05-03-02

Receipt  
Page 1 of 2  
RECEIVED APR 02 2002  
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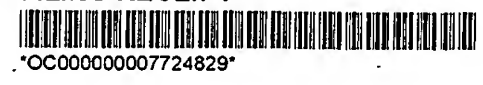
APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
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10/043,394	01/10/2002	1645	2696	SMX 3093.6 (2001-006R1)	11	110	7
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ONE METROPOLITAN SQUARE  
16TH FLOOR  
ST LOUIS, MO 63102



CONFIRMATION NO. 4664  
FILING RECEIPT



Date Mailed: 03/27/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Gerrit Klaerner, Campbell, CA;  
~~Dider Benoit~~, San Jose, CA; ~~Dider Benoit~~  
Dominique Charmot, Los Gatos, CA;  
Srinivas Nomula, San Jose, CA;  
Marcelo Eduardo Piotti, San Jose, CA;  
Laura T. Mazzola, Redwood City, CA;

Assignment For Published Patent Application

Symyx Technologies, Inc.;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/271,692 01/10/2001

Foreign Applications

Projected Publication Date: To Be Determined - pending completion of Missing Parts

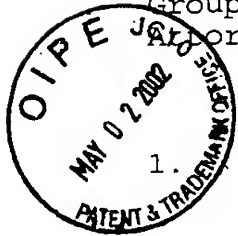
Non-Publication Request: No

Early Publication Request: No

blm

FEE TRANSMITTAL

Application Number 10/043,394  
Filing Date January 10, 2002  
Confirmation No. 4664  
Inventor(s) Gerrit Klaerner et al.  
Group Art Unit 1645  
Attorney Docket Number SMX 3093.6(2001-006R1)



**METHOD OF PAYMENT**

1. ☐ The Commissioner is hereby authorized to charge the indicated fees to Deposit Account No. 19-1345.
- ☐ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 to Deposit Account No. 19-1345.
- ☐ Applicant claims small entity status.
2. ☒ Check Enclosed. The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 19-1345.

**FEE CALCULATION**

1. ☐ BASIC FILING FEE Subtotal (1) \$ \_\_\_\_\_  
(Type: \_\_\_\_\_)
2. ☐ EXTRA CLAIM FEES Subtotal (2) \$ \_\_\_\_\_
- Total Claims \_\_\_\_\_  
Independent Claims \_\_\_\_\_  
Multiple Dependent Claims \_\_\_\_\_
3. ☒ ADDITIONAL FEES Subtotal (3) \$ 130.00
- ☒ Surcharge - late filing fee or oath  
☐ Surcharge - late provisional filing fee or cover sheet  
☐ Extension for reply within \_\_\_\_\_ month  
☐ Notice of Appeal  
☐ Filing a Brief in Support of an appeal  
☐ Request for ex parte Reexamination  
☐ Petitions to the Commissioner  
☐ Submission of Information Disclosure Statement  
☐ Recording each patent assignment per property  
☐ Request for Continued Examination  
☐ Other: \_\_\_\_\_

TOTAL AMOUNT OF PAYMENT \$ 130.00

Derick E. Allen  
Derick E. Allen, Reg. No. 43,468

5-2-02  
Date

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